

APPENDIX A



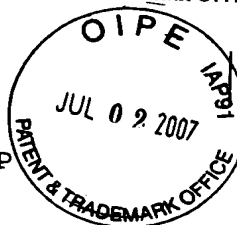
UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 09, 2006

MATTHEW T. LATIMER
LATIMER INTELLECTUAL PROPERTY LAW, LLP
13873 PARK CENTER ROAD, SUITE 122
HERNDON, VA 20171

PTAS



103183460A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 02/17/2006

REEL/FRAME: 017591/0704
NUMBER OF PAGES: 10

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
ORSER, CINDY S.

DOC DATE: 12/20/2005

ASSIGNOR:
GROSSET, ANNE

DOC DATE: 02/17/2006

ASSIGNOR:
DAVIDSON, EUGENE

DOC DATE: 01/17/2006

ASSIGNEE:
ARETE ASSOCIATES, INC.
5000 VAN NUYS BLVD.
SUITE 400
SHERMAN OAKS, CALIFORNIA 91403

SERIAL NUMBER: 10728246

FILING DATE: 12/04/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: DETECTION OF CONFORMATIONALLY ALTERED PROTEINS AND PRIONS

017591/0704 PAGE 2

TARA WASHINGTON, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

02-23-2006

SHEET

U.S. Department of Commerce
Patent and Trademark Office

To the Director of the U.S. Patent and T

documents or the new address(es) below.

1. Name of conveying party(ies):

Cindy S. ORSER (20 December 2005)
Anne GROSSET (25 January 2006)
Eugene DAVIDSON (17 January 2006)

2-17-06

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Joint Research Agreement☐ Government Interest Assignment☐ Executive Order 9424, Confirmatory License☐ Other:

2. Name and address of receiving party(ies):

Name: ARETE ASSOCIATES, INC.

Internal Address: Suite 400

Street Address: 5000 Van Nuys Blvd.

City: Sherman Oaks

State: California

Country: USA Zip Code: 91403

Additional name(s) & Address(es) attached?

☐ Yes ☒ No

4. Application number(s), patent number(s), or attorney docket number(s) (if no application number yet assigned):

A. Patent Application Number(s):

10/728,246
02/22/2006 BYLINE 00000226 503740 10728246
01 FC:0021 40.00 M

B. Patent Number(s) or attorney docket number(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Matthew T. Latimer

Internal Address: LATIMER INTELLECTUAL PROPERTY LAW, LLP

Street Address: 13873 Park Center Road, Suite 122

City: Herndon

State: VA Zip: 20171

6. Total number of applications and patents involved:

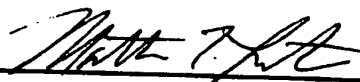
7. Total fee (37 CFR 3.41 and 1.21(h)): \$40

☐ Check attached☒ Authorized to be charged to deposit account
(including when insufficient funds are provided)☐ Not required (govt. interest not affecting title)

8. Deposit Account No.: 50-3740

9. Signature.

Matthew T. Latimer, Reg. No. 44,204



Signature

17 February 2006

Date

Total number of pages including cover sheet, attachments and documents: 10

ASSIGNMENT

WHEREAS, I, the below named inventor, (hereinafter referred to as Assignor), have made an invention entitled:

DETECTION OF CONFORMATIONALLY ALTERED PROTEINS AND PRIONS

for which a U.S. patent application was filed on 4 December 2003 and assigned U.S. application number 10/728,246, which was based on U.S. patent application number 10/161,061, filed on 30 May 2002, and which was based on U.S. provisional patent application number 60/295,456, filed on 31 May 2001; and

WHEREAS, ARETÉ ASSOCIATES, Inc., a corporation of the United States of America, whose post office address is 5000 Van Nuys Blvd., Suite 400, Sherman Oaks, CA 91403 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention, all patent applications based on the invention, and all patents based on the invention in all countries throughout the world;

NOW THEREFORE, be it known that for good and valuable consideration, the receipt of which from Assignee is hereby acknowledged, I as Assignor, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto Assignee, its lawful successors and assigns, my entire right, title, and interest in and to the above-referenced invention and the above-referenced patent applications, and all divisions, and continuations thereof, and all Letters Patent of the United States of America that might be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of such applications, and all extensions, renewals, and reissues thereof; and I hereby authorize and request the Commissioners of Patents to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I HEREBY covenant that I have the full right to convey the interest assigned by this Assignment, and I have not executed and will not execute any agreement in conflict with this Assignment;

AND, I HEREBY further covenant and agree that I will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that might be necessary or desirable to perfect the title to this invention in Assignee, its successors and assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths, and generally do everything possible to aid Assignee, its

IN TESTIMONY WHEREOF, I have hereunto set my hand:

Name of Inventor: Cindy S. ORSER
Address of Inventor: 915 Ridge Road
McLean, VA 22101 USA

Subscribed and sworn to before me by the above-named Cindy S. ORSER on
December 20, 2005.
date

JOHN DAVID LEWALD My
NOTARY PUBLIC
FREDERICK COUNTY
MARYLAND
MY COMMISSION EXPIRES JUNE 13, 2009

Name of Inventor: Anne GROSSET
Address of Inventor: 204 Route de Bardonnex
La Croix-de-Rozon, CH

Subscribed and sworn to before me by the above-named Anne GROSSET on _____.
date

My Commission Expires: _____

(Seal)

(Seal)

ASSIGNMENT

WHEREAS, I, the below named inventor, (hereinafter referred to as Assignor), have made an invention entitled:

DETECTION OF CONFORMATIONALLY ALTERED PROTEINS AND PRIONS

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NOW THEREFORE, be it known that for good and valuable consideration, the receipt of which from Assignee is hereby acknowledged, I as Assignor, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto Assignee, its lawful successors and assigns, my entire right, title, and interest in and to the above-referenced invention and the above-referenced patent applications, and all divisions, and continuations thereof, and all Letters Patent of the United States of America that might be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of such applications, and all extensions, renewals, and reissues thereof; and I hereby authorize and request the Commissioners of Patents to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

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successors and assigns, to obtain and enforce proper patent protection for this invention in the United States of America, it being understood that any expense incident to the execution of such papers shall be borne by Assignee, its successors, and assigns.

IN TESTIMONY WHEREOF, I have hereunto set my hand:

Date: _____

Name of Inventor: Cindy S. ORSER

Address of Inventor: 915 Ridge Road
McLean, VA 22101 USA

County of _____)

_____) ss.

State of _____)

Subscribed and sworn to before me by the above-named Cindy S. ORSER on _____.
date

Notary Public

My Commission Expires: _____

(Seal)

Date: January 25, 2016 Name of Inventor: Anne GROSSET
Address of Inventor: 204 Route de Bardonnex
La Croix-de-Rozon, CH
County of _____)
) ss.
State of _____)

Subscribed and sworn to before me by the above-named Anne GROSSET on _____.
date

Notary Public

My Commission Expires: _____

(Seal)

Date: _____

Name of Inventor: Eugene DAVIDSON
Address of Inventor: 5506 Nebraska Avenue, N.W.
Washington, D.C. 20015 USA

County of _____)
State of _____) ss.
_____)

Subscribed and sworn to before me by the above-named Eugene DAVIDSON
on _____
date

Notary Public

My Commission Expires: _____

(Seal)

ASSIGNMENT

WHEREAS, I, the below named inventor, (hereinafter referred to as Assignor), have made an invention entitled:

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NOW THEREFORE, be it known that for good and valuable consideration, the receipt of which from Assignee is hereby acknowledged, I as Assignor, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto Assignee, its lawful successors and assigns, my entire right, title, and interest in and to the above-referenced invention and the above-referenced patent applications, and all divisions, and continuations thereof, and all Letters Patent of the United States of America that might be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of such applications, and all extensions, renewals, and reissues thereof; and I hereby authorize and request the Commissioners of Patents to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

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AND, I HEREBY further covenant and agree that I will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that might be necessary or desirable to perfect the title to this invention in Assignee, its successors and assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths, and generally do everything possible to aid Assignee, its

successors and assigns, to obtain and enforce proper patent protection for this invention in the United States of America, it being understood that any expense incident to the execution of such papers shall be borne by Assignee, its successors, and assigns.

IN TESTIMONY WHEREOF, I have hereunto set my hand:

Date: _____

Name of Inventor: **Cindy S. ORSER**
Address of Inventor: **915 Ridge Road**
McLean, VA 22101 USA

County of _____)
) ss.
State of _____)

Subscribed and sworn to before me by the above-named Cindy S. ORSER on _____
date

Notary Public

My Commission Expires: _____

(Seal)

Date: _____

Name of Inventor: Anne GROSSET

Address of Inventor: 204 Route de Bardonnex
La Croix-de-Rozon, CH

County of _____)
) ss.
State of _____)

Subscribed and sworn to before me by the above-named Anne GROSSET on _____
date

Notary Public

My Commission Expires: _____

(Seal)

Date: Jan. 17, 2006

Eugene Dainoff

Name of Inventor: Eugene DAVIDSON
Address of Inventor: 5506 Nebraska Avenue, N.W.
Washington, D.C. 20015 USA

County of District of Columbia)
) ss:
State of AT LARGE)

Subscribed and sworn to before me by the above-named Eugene DAVIDSON
on 1-17-06.
date

Wanda D. Barnes
Notary Public

My Commission Expires: 12/14/06

(Seal)